

Risk Identifier				Risk Description			Risk Scoring				Risk Action Plan											
Risk ID	Domain	Date	Lead Executive	Directorate (if applicable)	Risk Description (There is a risk that...)	Cause (This will be caused by...)	Effect (The impact will be...)	Inherent Risk			Current Risk			Risk Decision	Action Plan	Due date	Status of Action	Target Risk			Progress	
								Likelihood	Impact	Risk level	Likelihood	Impact	Risk level					Trend	Likelihood	Impact		Risk level
283	Organisational Objectives	02/11/2018	Executive Director for Health Protection and Screening Services	Health Protection and Screening Services	DESW is unable to provide an accurate and quality-assured programme to the diabetic population of Wales, and to transform the service to provide quality-assured programme for the increasing diabetic population.	There are inadequate processing in place to provide assurance of consistent and quality assured grading practice. There is a lack of effective measures and monitoring and feedback from ophthalmology services for referred population. There is a mismatch between service demand and capacity to provide quality and timely service. There is a projected increase in diabetic population (current referral level = 1000 new patients per month). There is lack of service capacity to achieve service standards. There is a lack of clinical governance to support quality delivery.	Patients will have extended waits for eye screening, potentially leading to delayed referral and which may increase risk of irreversible sight loss due to retinopathy. Due to lack of quality assurance of grading, screening participants may receive inaccurate screening results which may result in patients incorrectly being put on routine recall and not being referred to ophthalmology as appropriate. Service model is unsustainable, resulting in increased errors/incidents. Reputational damage for PHW. Loss of confidence in service leads to detrimental impact on uptake. Increase in complaints, claims and staff grievance. Loss of staff members, resulting in further service instability.	5	4	20	5	4	20	→	Treat	31/03/2022		3	4	12	Update Feb 2023 mobile being sited in areas where access difficult due to lack of available locations. Alpha work progressing. Work progressing on second screening venue in Cardiff which will improve offer to participants. Update Jan 23 - successful implementation of outsourcing of letters in Jan 2023. Mobile in place focused on areas where clinic availability is difficult. Alpha work established and underway. Service mitigating issues due to strike. Update December 2022 - IT upgrade postponed to be implemented in early Jan 2023 due to time needed to resolve issue found and testing underway to implement outsourcing of letters which is planned to be implemented early 2023. New clinic template implemented which will increase capacity. Work being taken forward to explore mobile clinic use for Q4 financial year which can be focused on areas where clinic availability still struggling. Alpha work progressing with identification of company to take forward. Service has had to mitigate clinic availability due to strike action. Wales Screening Committee and Ministerial approval to implement low risk screening pathway. Screening update including paper on DESW transformation received by QSIC Dec meeting. Update November 2022 - IT upgrade planned to be implemented in November 2022 which will enable improved workflow for programme and work is progressing for outsourcing of letters which will enable pathways staff to prioritise workload. New clinic templates are being implemented which will improve capacity. Transformational work plan being progressed and paper taken to BET 15 November to detail progress. In addition to the alpha work being undertaken within the programme, funding was identified to buy in specialist expertise to assist specifically with the digital integration of DESW to the other NHS systems in Wales and progress with the recommendations of Discovery, the learning from the alpha testing undertaken by the programme will inform and underpin the specialist work. Update November 2022 - IT upgrade planned to be implemented in November 2022 which will enable improved workflow for programme and work is progressing for outsourcing of letters which will enable pathways staff to prioritise workload. New clinic templates are being implemented which will improve capacity. Transformational work plan being progressed and paper taken to BET 15 November to detail progress. In addition to the alpha work being undertaken within the programme, funding was identified to buy in specialist expertise to assist specifically with the digital integration of DESW to the other NHS systems in Wales and progress with the recommendations of Discovery, the learning from the alpha testing undertaken by the programme will inform and underpin the specialist work.	
287	Quality	04/10/2021	Executive Director Quality, Nursing and Allied Health Professionals and Director for NHS Quality Improvement and Patient Safety, Improvement Cymru	Corporate	There is a risk that Public Health Wales will fail to meet the requirements of The Health and Social Care (Quality and Engagement) (Wales) Act (2020)	This will be caused by competing priorities, a lack of organisational capacity and capability to support timely implementation	The impact will be noncompliance with the legislative requirements, and a lack of progress in strengthening quality improvement and governance in the delivery of safe services, programmes and functions.															Update 3.23 Ongoing active representation and involvement in WPR and WG workstreams for DOC including DOC implementation Board. Monthly submission highlight report return to WG and PHW leadership group Update 2.23 Ongoing active representation and involvement in WPR and WG workstreams for DOC including DOC implementation Board. Monthly submission highlight report return to WG Update 1.2023 Ongoing active representation and involvement in WPR and WG workstreams for DOC including DOC implementation Board. Monthly submission highlight report return to WG Update 3.23 Clinical Governance workshop delivered and further programme of work scheduled and due to be presented at QSIC in July 23 Update 2.23: Clinical Governance workshop planned 6th march with key directorate staff, programme drafted for delivery awaiting approval. Update 1.23: Clinical Governance workshop planned for March 23 with HP and Screenign services. Update 3.23 Clinical Governance workshop delivered and further programme of work scheduled and due to be presented at QSIC in July 23 Update 2.23: As above clinical Governance workshop planned 6th march and framework due to be presented at QSIC in May 2023 Update 01.01.23: Further CG workshop planned for March. Clinical Governance Framework is under development and due to be presented at QSIC in May 2023 for consideration. If deemed ready to be approved, this has the potential to provide an additional control. Update 3.23 CG on going work scheduled and due to be presented at QSIC in July 2.23 No change from January entry Update 1.23: CG framework work ongoing and due for presentation at QSIC May 2023 Update 3.23 Procedure documents submitted for approval. Workshops commenced for PHW services and SWG support materials received. Communications video and new article prepared for dissemination 24.3.23. Project plan monitoring in place weekly Update 2.23 Draft Policy & Procedure finalised for submission. Ongoing weekly monitoring of DOC implementation plan. Support materials from WG expected 3.23 Update 1.23: Draft policy and procedure written and pending approval. Implementation plan in place with weekly monitoring. Awaiting DOC leaflet from WPR expected Feb 23 Update 3.23 Workshops commenced and rolling programme scheduled. Awaiting ESR module launch form WG Update 2.23 Workshops and engagement events scheduled. Training programme being finalised in addition to ESR learning module and awareness video Update 1.23: Board Awareness session completed 26.1.23 and workshop scheduled in Q4 for PHW directorates 3.23 WG awareness video and leaflet received and will be launched week 24th March on the intranet. ESR module remains pending 2.23: WG video, leaflet and ESR learning module anticipated 3.23 Update 1.23 Awaiting ESR video from Welsh Risk Pool anticipated March 2023 3.23 Update: Action completed Update: 2.23 Weekly monitoring of implementation plan and monthly submission of a highlight report to WG via DOC implementation board Update 1.23: Doc implementation plan with ongoing weekly monitoring ongoing and on track. In addition month WPR Highlight report completion and monthly submission via the Executive Director of Nursing to the DOC implementation Board Update 15/07/22 - Action completed. Update 22/02/23 - Action completed Update 22/02/23 - Action completed

